



ASIC
Australian Securities &
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MONEYSMART
Financial guidance you can trust

Details: Lai-Fan Mandy Lam

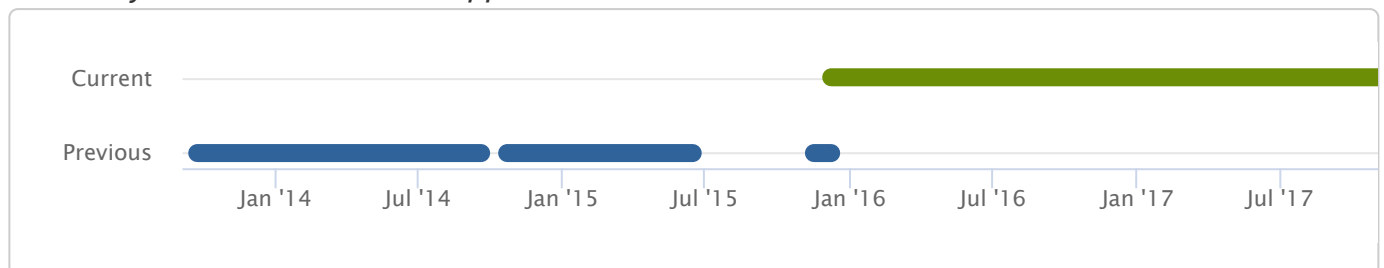


Summary

| | |
|------------------------|----------------------------------|
| Status: | Current |
| First provided advice: | 2013 |
| Name: | Lai-Fan Mandy Lam |
| Number: | 001004193 |
| Other role: | <u>Authorised Representative</u> |
| ABN: | <u>74 358 708 846</u> |

Appointment timeline

Summary of financial adviser's appointments. See below for further details.



Current appointment(s)

Financial adviser's current appointment details, including product areas the financial adviser can provide advice on.

For business name details, click on the Australian Business Register (ABN).

8/12/2015 - ongoing

GPS WEALTH LTD (licence holder)

Number: 000254544

ABN: 17 005 482 726

Controlled by: EASTON INVESTMENTS LIMITED

Authorised representative

Name: INFINIT WEALTH PTY. LTD.

Number: 001237788

ABN: 68 608 651 092

Lai-Fan Mandy Lam can advise on the following product areas

- Provide financial product advice
 - Government Debentures, Stocks or Bonds
 - Government Debentures, Stocks or Bonds
 - Life Products
 - Investment Life Insurance Products
 - Life Risk Insurance Products
 - Managed Investment Schemes
 - Managed Investment Schemes, including IDPS
 - Retirement Savings Account Products
 - Retirement Savings Account Products
 - Superannuation
 - Superannuation - All
 - Margin Lending Facility
 - Standard Margin Lending Facility

Previous appointment(s)

This section provides the financial adviser's appointment history back to March 2010. For business name details, click on the ABN to go to the Australian business register and view any related business names.

16/11/2015 - 8/12/2015

AURA WEALTH PTY LTD. (licence holder)

Number: 000380552

ABN: 34 122 486 935

Controlled by: AURA GROUP PTY LTD

22/10/2014 - 15/6/2015

WESTPAC BANKING CORPORATION (licence holder)

Number: 000233714

ABN: 33 007 457 141

Controlled by: WESTPAC BANKING CORPORATION

Restrictions

Excluding Self Managed Superannuation Funds

23/9/2013 - 19/9/2014

AMP FINANCIAL PLANNING PTY LIMITED (licence holder)

Number: 000232706

ABN: 89 051 208 327

Controlled by: AMP ADVICE HOLDINGS PTY LTD

Disciplinary actions

The banning orders and disqualifications made against the financial adviser, and any enforceable undertaking accepted by ASIC from the financial adviser.

No banning or disqualifications recorded.

Qualifications and training

This section shows which qualifications or training courses the adviser has completed that are relevant to providing financial services.

Year: 2015
Name: Self - Managed Superannuation Funds & Margin Lending Facilities
Provider: Financial Education Professionals

Year: 2015
Name: Certificate IV Mortgage Broking
Provider: AAMI

Year: 2014
Name: Advanced Diploma of Financial Planning
Provider: Monarch Institute

Year: 2013
Name: Diploma of Financial Planning
Provider: Monarch Institute

Year: 2002
Name: Bachelor of Visual Arts
Provider: University of South Australia

Memberships

This section tells you if the adviser is a member of any professional bodies or industry associations relevant to providing financial services.

- Financial Planning Association of Australia (FPA)